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IM&N File No. 216367US0PCT By NFO/dty Due Date December 11, 2001

al No. New U.S. PCT Application based on PCT/JF00/03748

ie matter of the Application of Satoshi ONO, et al.

N-ALKOXYALKYL-N, N-DIALKYLAMINE DERIVATIVES OR SALTS
THEREOF AND AGENTS FOR TREATING NEURODEGENERATIVE
DISEASES COMPRISING THE SAME



following has been received in the U.S. Patent Office on the date stamped hereon:

220pgs. Specification 6 Claims (English Translation) pgs. Sequence Listing

Combined Declaration, Petition & Power of Attorney (3 pages)

Petition to Revive Under 37 C.F.R. 1.137 (b)

Notice of Priority;

Check for \$ 930.00;

☒ Dep. Acct. Order Form

Assignment 1 pages/PTO-1595

Drawings sheets

PCT Transmittal Letter

Preliminary Amendment

PCT/IB/304

☒ PCT/IB/308

Letter Regarding Claim to Small Entity Status

International Preliminary Examination Report

Information Disclosure Statement;

☒ PTO-1449

Cite References ()

☐ Statement of Relevancy

List of Related Cases

☐ Cited Pending Applications(#)

International Search Report

Request for Consideration of Documents Cited in International Search Report

Letter Regarding Translation of Annexes

Translation of Annexes to International Preliminary Examination Report

Amended Sheets ()

SERIAL NO.

09/926739

DATE RECEIVED **77 Rec'd PCT/PTO 11 DEC 2001**

☐ CLAIMING SMALL ENTITY STATUS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Satoshi ONO et al.

SERIAL NO: New US PCT application based on PCT/JP00/03748

GAU:

FILED: Herewith

EXAMINER:

FOR: N-ALKOXYALKYL-N, N-DIALKYLAMINE DERIVATIVES OR SALTS THEREOF AND AGENTS FOR TREATING NEURODEGENERATIVE DISEASES COMPRISING THE SAME

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Registration No.	34,423

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
216367USSERIAL NO.
New US PCT application
based on PCT/JP00/03748

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Satoshi ONO et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5280032	01/18/94	Toyama Chemical Company, Ltd.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AO	4-95070	03/27/92	JP		No
	AP	99/31056	06/24/99	WO		No
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OSMM&N File No. 216367US0PCT

Dept.: Chemical

By: NFO/slf

Serial No. 09/926,739

In the matter of the Application of: Satoshi ONO, et al.

For: N-ALKOXYALKYL-N, N-DIALKYLAMINE DERIVATIVES OR S
THEREOF AND AGENTS FOR TREATING NEURODEGENERATIVE
DISEASES COMPRISING THE SAME

Due Date: 05/27/03

The following has been received in the U.S. Patent Office on the date stamped

■ Check for \$180.00

■ Dep. Acct. Order Form

■ PTO-1449

■ Cited References (10)

■ IDS/Related/List of Related Cases



Docket No. 216367US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Satoshi ONO, et al.

SERIAL NO: 09/926,739

GAU: 1626

FILED: December 11, 2001

EXAMINER:

FOR: N-ALKOXYALKYL-N, N-DIALKYLAMINE DERIVATIVES OR SALTS THEREOF AND AGENTS FOR TREATING NEURODEGENERATIVE DISEASES COMPRISING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's issued patents which may be related to the present application. A copy of the patents is attached along with PTO 1449.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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(OSMMN 05/03)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
216367US0PCTSERIAL NO.
09/926,739

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Satoshi ONO, et al.FILING DATE
December 11, 2001GROUP
1626

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,472,984	12/05/95	ONO et al.			
	AB	5,658,904	09/19/97	ONO et al.			
	AC	5,612,381	03/18/97	ONO et al.			
	AD	5,719,150	02/17/98	ONO et al.			
	AE	5,807,887	09/15/98	ONO et al.			
	AF	5,872,117	02/16/99	ONO et al.			
	AG	5,968,935	10/19/99	ONO et al.			
	AH	5,922,721	07/13/99	ONO et al.			
	AI	5,932,620	08/03/99	ONO et al.			
	AJ	6,034,119	03/07/00	ONO et al.			
	AK	6,103,754	08/15/00	ONO et al.			
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.